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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Wayne V. Sorin, et al.

Application No.: 10/040,526

Filed: December 28, 2001

For: ACOUSTO-OPTIC TUNABLE

APPARATUS HAVING A FIBER BRAGG GRATING AND AN

OFFSET CORE

Date of Allowance: May 28, 2004

Confirmation No.: 9112

Examiner: Wong, Eric K.

Art Unit: 2874

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

on August 30, 2004

Christopher P. Marshall
Name of Person Mailing Correspondence

P. Marshall 8/30/201

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

REQUEST FOR EXAMINER INITIALS

Sir:

Applicants request that the Examiner initial the cited documents on Form PTO-1449 submitted with an Information Disclosure Statement filed March 24, 2004 in the present application and return a photocopy of that initialed Form PTO-1449 to applicants. Applicants request the Examiner's initials in order to show consideration of the cited references.

A photocopy of the previously-submitted Form PTO-1449 is included herewith without copies of the previously submitted references.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: Angust 30, 2004

Lester J. Vincent Reg. No. 31,460

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

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Substitute for Form 1449/PTO					Complete if Known		
INFORMATION DISCLOSURE				IRE	Application Number	10/040,526	
					Filing Date	December 28, 2001	
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	SEP 0 2 2004	1			Examiner Name	Wong, Eric K.	
Sheet			· Of	2	Attorney Docket Number	5489P010	
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Examiner Initials*	Cite No.	Numb	Document Number Der-Kind Code ² (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	6	US-	6,169,830 B1	01/02/2001	Kewitsch et al.		
		US-	6,459,834 B1	10/01/2002	Kim et al.		
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Examiner	Date Considered	
Signature		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

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Substitute for	r Form 14	149/PTO				Сотр	ete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		=	Application Number 10/040,526 Filing Date December 28, 2001					
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